

10051299 . 073102

10/051,299

Please charge Deposit Account No. 500417 in the amount of \$1,252.00. To the extent necessary, Applicants petition for an extension of time under 37 C.F.R. 1.136. Please charge any shortage in fees due in connection with the filing of this paper, including extension of time fees, to Deposit Account 500417 and please credit any excess fees to such deposit account.

Respectfully submitted,

MCDERMOTT, WILL & EMERY

A handwritten signature in black ink, appearing to read 'AJS' with a stylized flourish at the end.

Arthur J. Steiner
Registration No. 26,106

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Facsimile: (202)756-8087
Date: July 11, 2002



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/051,299	01/22/2002	Masaki Ohmura	50212-338

CONFIRMATION NO. 4776

FORMALITIES LETTER



OC00000007456420

20277
MCDERMOTT WILL & EMERY
600 13TH STREET, N.W.
WASHINGTON, DC 20005-3096

Adjustment date: 07/25/2002 HNHWHH1
07/16/2002 KZEMDIE 00000002 500417 10051299
04 FC:103 252.00 CR

Date Mailed: 02/11/2002

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

07/16/2002 KZEMDIE 00000002 10051299 Filing Date Granted
01 FC:101 740.00 CH
02 FC:105 130.00 CH
03 FC:139 130.00 CH
04 FC:103 252.00 CR

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 740 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The application was filed in a language other than English. Applicant is required to provide an English translation of the specification and a statement that the translation is accurate. (See 37 CFR 1.52(d)).
- Applicant must file an English translation of the application, the \$ 130 fee set forth in 37 CFR 1.17(i), unless previously submitted, and a statement that the translation is accurate (37 CFR 1.52(d)).
- **The balance due by applicant is \$ 1000.**
- Because your specification was filed in a language other than English, the Office was unable to determine the number of claims submitted. Additional claim fees may be due once the number of claims can be determined.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
 - drawing figures contain text that is not in English (including, for example, a flow chart that was originally not in English that has been marked up to include the English text)

07/25/2002 HNHWHH1 00000013 500417 10051299

01 FC:102 252.00 CH

07/16/2002 KZEMDIE 00000002 500417 10051299
01 FC:101 740.00 CH
02 FC:105 130.00 CH
03 FC:139 130.00 CH
04 FC:103 252.00 CH

see 37 CFR 1.84(p)(2) and 37 CFR 1.52(d)(1);

A copy of this notice MUST be returned with the reply.



Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



#5

Docket No.: 50212-338

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of :
Masaki OHMURA, et al. :
Serial No.: 10/051,299 : Group Art Unit:
Filed: January 22, 2002 : Examiner:
For: LIGHT WAVEGUIDE TYPE GRATING DEVICE AND A METHOD OF MAKING
THEREOF

REQUEST FOR CORRECTED FILING RECEIPT

Commissioner for Patents
Washington, DC 20231

Sir:

Attached is a copy of the Filing Receipt received from the U.S. Patent and Trademark Office in the above-referenced application. It is noted that the priority information is incorrect on the Filing Receipt. Attached is a copy of the Declaration and Power of Attorney, which evidences that the priority information should also include: **U.S. Provisional Application No. 60/340,484, filed December 18, 2001.**

It is requested that a corrected Filing Receipt be issued.

Respectfully submitted,

MCDERMOTT, WILL & EMERY

Arthur J. Steiner
Registration No. 26,106

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Date: July 11, 2002



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WASHINGTON, D.C. 20231
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APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
10/051,299	01/22/2002		0.00	50212-338	19	1	1

CONFIRMATION NO. 4776

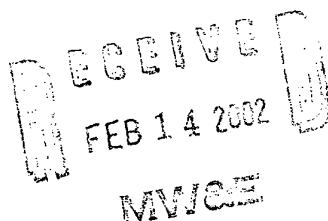
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FILING RECEIPT



OC000000007456419

Date Mailed: 02/11/2002



Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Masaki Ohmura, Residence Not Provided;
Masakazu Shigehara, Residence Not Provided;

Domestic Priority data as claimed by applicant

U.S. PROVISIONAL APP.

60/340,484
12/18/2001

Foreign Applications

JAPAN P2001-209826 07/10/2001
JAPAN P2002-012017 01/21/2002

If Required, Foreign Filing License Granted 02/11/2002

Projected Publication Date: To Be Determined - pending completion of Missing Parts

Non-Publication Request: No

Early Publication Request: No

Title

Optical waveguide type defraction grating device and a method of manufacturing thereof

Preliminary Class